

Memorandum

To: CHAIR AND COMMISSIONERS

CTC Meeting: May 21-22, 2003

Reference No.: 2.6a.(1) - **REVISED**
Action Item

From: ROBERT L. GARCIA
Chief Financial Officer

Prepared by: Robert Sertich
Chief
Division of Budgets

Ref: **FINANCIAL ALLOCATION FOR LOCAL STATE TRANSPORTATION IMPROVEMENT
PROGRAM RAIL/TRANSIT PROJECTS RESOLUTION MFP-02-20 23**

RECOMMENDATION:

The California Department of Transportation (Department) recommends the California Transportation Commission (Commission) approve the following Resolution. This Resolution allocates a total of \$7,674,000 in the State Highway Account (SHA) Funds, from Items 2660-101-0042 and 2660-101-0890 of the Budget Acts of 2001 and 2002, for three (3) Local State Transportation Improvement Program (STIP) Rail/Transit project on the attached Vote List.

FINANCIAL RESOLUTION:

Resolved That:

A total of \$7,674,000 in the State Highway Account (SHA) funds, programmed in the 2002 State Transportation Improvement Program, be allocated to the Recipients for the project on the attached Vote List.

The Recipients are committed to providing any required local matching funds and to fully fund implementation of the project in compliance with grant funding requirements and Commission policy. For acquisitions of rail right-of-way properties, the Recipients have performed, with diligence, the process of identification and remediation of any hazardous waste in the right-of-way, easements and properties, in accordance with the Commission's established "Hazardous Waste Identification and Clean-up Policy" (Resolution G-91-2).

Reimbursement of eligible costs is subject to the policies, restrictions and assurances as set forth in the Commission's policy for allocating, monitoring, and auditing local assistance grant projects,

and is governed by the terms and conditions for the Fund Transfer Agreement (FTA), and subsequent amendments to the same if required, as executed between the Recipients and the Department.

The Recipients shall provide the Department with quarterly expenditure plans by category including any proposed changes for the balance of all funded project allocations commencing with the first quarter.

In the event that the Recipients, or successor public entities, fail or cease to utilize the Projects' properties for the intended public transit purposes or sell or transfer title of the Projects, this Commission shall be entitled to a then present value refund, or credit, at State's option, equivalent to the proportionate funding participation by the State towards the Projects. The credit due the Commission in each instance will be measured by the ratio of State and other public funding to that provided from Recipients. That ratio will be applied to the fair market value of the Projects' property.

The Recipients shall provide the Department with notification of contract award within twelve (12) months from the date of this allocation, and must complete the work to be reimbursed and the actual reimbursement within thirty-six (36) months from award of said contract, unless the Commission authorizes a waiver that extends, if permitted by statute, the period of availability of the funds.

Or, Funds allocated for local project development or right of way costs must be expended by the end of the second year following the fiscal year in which the funds were allocated. For local grant projects, the local agency must invoice Caltrans for these costs no later than 180 days after the fiscal year in which the final expenditure occurred unless the Commission authorizes a waiver that extends, if permitted by statute, the period of availability of the funds.

Attachment(s)

Project # Allocation Amount Recipient RTPA/CTC District-County Legislative District	Location Project Description Project Funding	EA Dist-PPNO Program / Year Programmed: PA&ED PS&E R/W CONST	Budget Year Item # Program Code	Allocation Amount State Federal Total Amount																														
2.6a.(1) Local State Transportation Improvement Program (STIP) Rail/Transit Project				MFP-02-20 23																														
1 \$3,000,000 Peninsula Corridor Joint Powers Board MTC 04-San Francisco Senate: 3, 8, 11, 13, 15 Assembly: 13, 19, 21- 24, 28	Caltrain Rapid Rail Improvements Rebuild and reconfigure existing tracks and add new tracks, switches and platform improvements. Throughout Caltrain's San Francisco Corridor. <table> <tr> <th>FUNDING</th><th>PRIOR</th><th>CURRENT</th><th>FUTURE</th><th>TOTAL</th></tr> <tr> <td>State</td><td>\$0</td><td>\$345,000</td><td>\$0</td><td>\$345,000</td></tr> <tr> <td>ST-Fed.</td><td>\$0</td><td>\$2,655,000</td><td>\$0</td><td>\$2,655,000</td></tr> <tr> <td>Local</td><td>\$1,420,419</td><td>\$0</td><td>\$0</td><td>\$1,420,419</td></tr> <tr> <td>L-Fed.</td><td>\$5,681,675</td><td>\$0</td><td>\$0</td><td>\$5,681,675</td></tr> <tr> <td>TOTAL</td><td>\$7,102,094</td><td>\$3,000,000</td><td>\$0</td><td>\$10,102,094</td></tr> </table>	FUNDING	PRIOR	CURRENT	FUTURE	TOTAL	State	\$0	\$345,000	\$0	\$345,000	ST-Fed.	\$0	\$2,655,000	\$0	\$2,655,000	Local	\$1,420,419	\$0	\$0	\$1,420,419	L-Fed.	\$5,681,675	\$0	\$0	\$5,681,675	TOTAL	\$7,102,094	\$3,000,000	\$0	\$10,102,094	R119SA 04-2014K RIP / 02-03 \$0 \$0 \$0 \$3,000,000	2001-02 101-0042 101-0890 30.10.070.625	\$345,000 \$2,655,000 \$3,000,000
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2 \$2,606,000 Peninsula Corridor Joint Powers Board MTC 04-Santa Clara Senate: 3, 8, 11, 13, 15 Assembly: 13, 19, 21- 24, 28	Platform & Track Work at Diridon Station Track, platform and station improvements to make station fully ADA accessible and provide additional capacity. <table> <tr> <th>FUNDING</th><th>PRIOR</th><th>CURRENT</th><th>FUTURE</th><th>TOTAL</th></tr> <tr> <td>State</td><td>\$0</td><td>\$299,000</td><td>\$0</td><td>\$299,000</td></tr> <tr> <td>ST-Fed.</td><td>\$0</td><td>\$2,307,000</td><td>\$0</td><td>\$2,307,000</td></tr> <tr> <td>Local</td><td>\$810,531</td><td>\$0</td><td>\$0</td><td>\$810,531</td></tr> <tr> <td>L-Fed.</td><td>\$3,242,123</td><td>\$0</td><td>\$0</td><td>\$3,242,123</td></tr> <tr> <td>TOTAL</td><td>\$4,052,654</td><td>\$2,606,000</td><td>\$0</td><td>\$6,658,654</td></tr> </table>	FUNDING	PRIOR	CURRENT	FUTURE	TOTAL	State	\$0	\$299,000	\$0	\$299,000	ST-Fed.	\$0	\$2,307,000	\$0	\$2,307,000	Local	\$810,531	\$0	\$0	\$810,531	L-Fed.	\$3,242,123	\$0	\$0	\$3,242,123	TOTAL	\$4,052,654	\$2,606,000	\$0	\$6,658,654	R120SA 04-2205 RIP / 02-03 \$0 \$0 \$0 \$2,606,000	2001-02 101-0042 101-0890 30.10.070.625	\$299,000 \$2,307,000 \$2,606,000
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3 \$2,068,000 County of Los Angeles LACMTA 07-Los Angeles Senate: 25, 27, 28, 30 Assembly: 46, 48, 50, 52, 54	Metro Blue Line Auto and Pedestrian Safety Design and install advanced safety devices along the Long Beach Blue Line's alignment and to continue the development of the community education and safety programs. <table> <tr> <th>FUNDING</th><th>PRIOR</th><th>CURRENT</th><th>FUTURE</th><th>TOTAL</th></tr> <tr> <td>State</td><td>\$384,016</td><td>\$237,000</td><td>\$0</td><td>\$621,016</td></tr> <tr> <td>ST-Fed.</td><td>\$2,963,984</td><td>\$1,831,000</td><td>\$0</td><td>\$4,794,984</td></tr> <tr> <td>Local</td><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr> <td>L-Fed.</td><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr> <td>TOTAL</td><td>\$3,348,000</td><td>\$2,068,000</td><td>\$0</td><td>\$5,416,000</td></tr> </table>	FUNDING	PRIOR	CURRENT	FUTURE	TOTAL	State	\$384,016	\$237,000	\$0	\$621,016	ST-Fed.	\$2,963,984	\$1,831,000	\$0	\$4,794,984	Local	\$0	\$0	\$0	\$0	L-Fed.	\$0	\$0	\$0	\$0	TOTAL	\$3,348,000	\$2,068,000	\$0	\$5,416,000	T952SC 07-2909 RIP / 02-03 \$0 \$245,000 \$0 \$1,823,000	2001-02 101-0042 101-0890 30.10.070.625	\$237,000 \$1,831,000 \$2,068,000
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